

## The Well-Ordering Principle for the Integers

Some proofs in mathematics use a property of the set of integers called the Well-Ordering Principle.

### Definition of the Well-Ordering Principle for the Integers

**Every non-empty set of integers  
in which every element is greater than or equal to some fixed integer  
has a least element.**

More formally, specifying Condition (1) and Condition (2):

Let set  $S$  be a set of integers such that:

- 1) There is at least one integer element in  $S$ , and
- 2) There exists an integer  $L$  such that every element in set  $S$  is greater than or equal to  $L$ .

Then, by the Well-Ordering Principle,  $S$  has a least element  $m$ .

Note: The integer  $L$  (thought of a "Lower Bound" of integers in  $S$ ) is most likely not in the set  $S$ .

And, the choices of which integer  $L$  might be is never unique:  
many different integers can be chosen as  $L$  to serve as a lower bound for set  $S$ .  
For example, if  $L = 2$  works, then  $L = 1$ ,  $L = 0$ ,  $L = -1$ , etc., all will also work.

**Example 1:** Verify that the Well-Ordering Principle can be applied to set  $S$ ,

where set  $S = \{ \text{All integers } x \text{ such that } 24 = xy \text{ for some integer } y \}$ .

- 1) [ Show that there is at least one integer element in set  $S$ . ]

$$24 = 12 \times 2 = xy \text{ where } x = 12 \text{ and } y = 2.$$

Thus,  $x = 12$  is in set  $S$ , so there is at least one integer element in  $S$ , so  $S$  is a non-empty set.

- 2) [ Show that there exists an integer  $L$  such that, for all  $x$  in  $S$ ,  $x \geq L$ . ]

By definition of  $S$ , every integer element in  $S$  is a divisor of 24.

The divisors of 24 range between  $-24$  and  $+24$ , so every divisor of 24 is greater than or equal to  $-25$ . Thus, every element in set  $S$  is greater than or equal to  $-25$ .

$\therefore$  Set  $S$  satisfies Condition (1) and Condition (2) of the Well-Ordering Principle of the Integers.  
 $\therefore$  By the Well-Ordering Principle of the Integers, set  $S$  has a least element,  $m$ .

Regarding the step of locating an integer  $L$  to serve as a "Lower Bound" of the elements in set  $S$ , sometimes the definition of  $S$  itself says, for instance, that set  $S$  is the set of all integers  $n$ ,  $n \geq 0$ , such that  $n$  has such-and-such property. In that case, verifying the existence of a "Lower Bound" for the elements of  $S$  is accomplished just by saying, "By definition of set  $S$ , every integer in  $S$  is greater than or equal to 0."

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## A First Example of a Proof using the Well-Ordering Principle of the Integers

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DEFINITION: Define the sequence  $c_0, c_1, c_2, \dots$   
as follows:

$$c_0 = 2, \quad c_1 = 2, \quad c_2 = 6,$$

$$\text{and } c_k = 3c_{(k-3)} \text{ for all integers } k \geq 3.$$

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TO PROVE: For every integer  $n \geq 0$ ,  $c_n$  is even.

Proof: Suppose, by way of contradiction, that there exists an integer  $N$  such that  $N \geq 0$  and  $c_N$  is not even.

Let  $S = \{ \text{all integers } t \text{ such that } t \geq 0 \text{ and } c_t \text{ is not even} \}$ .

Since  $N \geq 0$  and  $c_N$  is not even,  $N$  is in  $S$  and so  $S$  is not the empty set.

Also, every element of  $S$  is greater than or equal to zero. So,  $S$  satisfies the conditions of the Well-Ordering Principle of the integers.

By the Well Ordering Principle,  $S$  has a least element  $m$ .

$\therefore$  Since  $m$  is in set  $S$ ,  $m \geq 0$  and  $C_m$  is not even, by definition of set  $S$ .

INTERNAL LEMMA:

For all integers  $t$ , if  $0 \leq t < m$ , then

$C_t$  is even.

Proof of Internal Lemma:

Let  $t$  be any integer.

Suppose  $0 \leq t < m$ .

$\therefore$  Since  $t < m$  and  $m$  is the least element in set  $S$ ,

$t$  is not in set  $S$ .

Suppose BWOC that  $C_t$  is not even.

$\therefore t$  is in set  $S$ , by definition of set  $S$ ,

but this contradicts the fact that  $t$  is not in set  $S$ ,

$\therefore C_t$  is even, by proof-by-contradiction.

QED for the Internal Lemma.

Now,  $C_0 = 2 = 2 \times 1$ ,  $\therefore C_0$  is even and  $C_m$  is not even.

$\therefore m \neq 0$ , and since  $m \geq 0$  also,  $m \geq 1$ .

$C_1 = 2 = 2 \times 1$ .  $\therefore C_1$  is even and  $C_m$  is not even.

$\therefore m \neq 1$ , and since  $m \geq 1$  also,  $m \geq 2$ .

$C_2 = 6 = 3 \times 2$ ,  $\therefore C_2$  is even and  $C_m$  is not even.

$\therefore m \neq 2$ , and since  $m \geq 2$  also,  $m \geq 3$ .

Since  $m \geq 3$ , the formula in the Sequence Definition

applies.  $\therefore C_m = 3 \times C_{(m-3)}$ , by that formula.

Since  $m \geq 3$ ,  $m-3 \geq 0$ ,

$\therefore 0 \leq m-3 < m$ .

$\therefore$  By the Internal Lemma,  $C_{(m-3)}$  is even.

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$\therefore$  Since  $c_{(m-3)}$  is even, there exists an integer  $k$  such that

$$c_{(m-3)} = 2k.$$

Recall that  $c_m = 3 \times c_{(m-3)}$ ,

$$\therefore c_m = 3 \times (2k)$$

$$\therefore c_m = 2 \times (3k) \text{ and } 3k \text{ is an integer.}$$

$\therefore c_m$  is even, which contradicts the fact that  $c_m$  is not even.

$\therefore$  For every integer  $n \geq 0$ ,  $c_n$  is even,  
by proof-by-contradiction.

A second EXAMPLE of a proof Using the Well-Ordering Principle:

Sequence  $(d_n)$  is defined as follows:  $d_1 = \frac{9}{10}$ ,  $d_2 = \frac{10}{11}$ ,

and, for all integers  $k \geq 3$ ,  $d_k = d_{(k-1)} \times d_{(k-2)}$ .

To Prove: For all integers  $n \geq 1$ ,  $d_n < 1$ .

Proof: [By proof-by-contradiction]

Suppose, by way of contradiction, that there exists an integer index  $T \geq 1$  such that  $d_T \geq 1$ ; that is,  $d_T \geq 1$ .

Let set  $S = \{ \text{all integer indices } n \geq 1 \text{ such that } d_n \geq 1 \}$

By definition of  $T$ ,  $d_T \geq 1$  and  $T \geq 1$ .

$\therefore$  By definition of set  $S$ ,  $T \in S$ , and so  $S$  is non-empty.

$\therefore$  Condition (1) of the Well-Ordering Principle is satisfied.

By definition of set  $S$ , every integer  $n$  in set  $S$  is greater than or equal to 1. [So, set  $S$  has a lower bound  $L = 1$ ]

$\therefore$  Condition (2) of the Well-Ordering Principle is satisfied.

$\therefore$  By the Well-Ordering Principle, the set  $S$  has a least element, which we will call  $m$ .

INTERNAL LEMMA: For all integers  $t$ ,  
if  $1 \leq t < m$ , then  $d_t < 1$ .

Proof of the INTERNAL LEMMA:

Let  $t$  be any integer.

Suppose that  $1 \leq t < m$ . [We NTS:  $d_t < 1$ ]

Since  $t < m$  and  $m$  is the least element of Set  $S$ ,  
 $t$  is NOT IN Set  $S$ .

Suppose, BWOC, that  $d_t \geq 1$  [i.e.  $d_t \neq 1$ ].

Since  $t \geq 1$  and  $d_t \geq 1$ ,  $d_t$  is in Set  $S$ , by  
definition of Set  $S$ , which contradicts  
the fact that  $t$  is NOT IN Set  $S$ .

$\therefore d_t < 1$ , by Proof-by-contradiction.

[QED for the INTERNAL LEMMA]

Recall that,

Since  $m$  is in Set  $S$ ,  $d_m \geq 1$  and  $m \geq 1$ .

Now,  $d_1 = \frac{9}{10}$ , so  $d_1 < 1$  and  $d_m \geq 1$ , so  $m \neq 1$ .

Since  $m \geq 1$  and  $m \neq 1$ ,  $m \geq 2$ .

Also,  $d_2 = \frac{10}{11}$ , so  $d_2 < 1$  and  $d_m \geq 1$ , so  $m \neq 2$ .

Since  $m \geq 2$  and  $m \neq 2$ ,  $m \geq 3$ .

Since  $m \geq 3$ ,  $m-2 \geq 1$ .  $\therefore 1 \leq m-2 < m$ .

$\therefore$  By the Internal Lemma,  $d_{(m-2)} < 1$ .

Since  $m \geq 3$ ,  $m-1 \geq 2 \geq 1$ .  $\therefore 1 \leq m-1 < m$ .

By the Internal Lemma,  $d_{(m-1)} < 1$ .

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Since  $m \geq 3$ , the formula in the Sequence Definition applies to  $d_m$ .

$$\therefore d_m = d_{(m-1)} \times d_{(m-2)}, \text{ by the formula.}$$

$$\text{Since } d_{(m-1)} < 1, \quad d_{(m-1)} \times d_{(m-2)} < 1 \cdot d_{(m-2)}.$$

$$\therefore d_{(m-1)} \times d_{(m-2)} < d_{(m-2)} < 1.$$

$\therefore$  By Transitivity of Inequality,

$$d_{(m-1)} \times d_{(m-2)} < 1$$

Recall that  $d_m = d_{(m-1)} \times d_{(m-2)}$ .

$\therefore d_m < 1$ , by substitution, but this contradicts the fact that  $d_m \geq 1$ .

$\therefore$  For all integers  $n \geq 1$ ,  $d_n < 1$ ,

by proof-by-contradiction.

QED.

On the following two pages are two proofs of Theorem 4.3.4, which states that every integer which is greater than 1 is divisible by some prime number. We will need to apply the following theorem.

The following theorem is useful when a proof deals with integers which are not prime numbers.

**Theorem (The Non-Prime Integer Greater Than One Theorem):**

For every integer  $n$ , if  $n > 1$  and  $n$  is not a prime number,

then there exist integers  $r$  and  $s$  such that  $1 < r < n$  and  $1 < s < n$  and  $n = rs$ .

**Proof:** Let  $n$  be any integer.

Suppose that  $n > 1$  and  $n$  is not a prime number.

Since  $n$  is not prime, there exist positive integers  $r$  and  $s$  such that  $n = rs$  and  $r \neq 1$  and  $s \neq 1$ .

$\therefore r > 1$  and  $s > 1$ , since they are positive integers not equal to 1.

$\therefore rs > r$  and  $rs > s$ , by rules of algebra.

$\therefore n > r$  and  $n > s$ , by substitution (recall that  $n = rs$ ).

$\therefore 1 < r < n$  and  $1 < s < n$  and  $n = rs$ . **QED**



**Theorem 4.3.4 :** For every integer  $n > 1$ ,  $n$  is divisible by some prime number .

[ This proof illustrates one way to use the Well-Ordering Principle of the Integers to prove a theorem.

Following this proof, another proof of this theorem is presented which illustrates a second way to use the Well-Ordering Principle to prove a theorem. ]

**Proof # 1 :**

Suppose, by way of contradiction, that there exists an integer  $N$  such that  $N > 1$  and  $N$  is not divisible by any prime number.

Let  $S = \{ \text{all positive integers } n \text{ such that } n > 1 \text{ and } n \text{ is not divisible by any prime number.} \}$

[ Here, the least element  $m$  will be the first integer greater than 1 which is not divisible by a prime number. ]

Since  $N > 1$  and  $N$  is not divisible by any prime number,  $N \in S$ , so  $S$  is not the empty set.

By definition of set  $S$ , every element of  $S$  is greater than 1, so 1 is a "Lower Bound" integer for set  $S$ .

$\therefore S$  satisfies the conditions of the Well-Ordering Principle of the Integers..

$\therefore$  By the Well-Ordering Principle of the Integers,  $S$  has a least element,  $m$ .

Since  $m$  is divisible by  $m$  (that is,  $m$  is its own divisor) and since  $m$  is not divisible by any prime number,  $m$  is not a prime number. Also,  $m > 1$ .

Thus, since  $m > 1$  and  $m$  is not a prime number, there exist integers  $r$  and  $s$  such that

$$1 < r < m \text{ and } 1 < s < m \text{ and } m = rs. \text{ Thus, } r \mid m.$$

Since  $r < m$ ,  $r$  is not in the set  $S$ .

Since  $1 < r$  and  $r$  is not in the set  $S$ , there exists a prime  $p$  such that  $p \mid r$ , by definition of  $S$ .

Since  $p \mid r$  and  $r \mid m$ ,  $p \mid m$  by transitivity of divisibility.

Since  $p$  is a prime number,  $m$  is divisible by the prime number  $p$ , which contradicts the fact that  $m$  is not divisible by any prime number.

Therefore, for every integer  $n > 1$ ,  $n$  is divisible by some prime number, by proof-by-contradiction. **Q E D**

**Theorem 4.3.4:** For every integer  $n > 1$ ,  $n$  is divisible by some prime number  $p$ .

**Proof # 2:**

Let  $n$  be any integer such that  $n > 1$ . [NTS: There exists a prime number  $p$  such that  $p \mid n$ .]

Let  $S = \{ \text{all integers } t \text{ such that } t > 1 \text{ and } t \mid n. \}$

[Here, the least element  $m$  will be the first divisor of  $n$  which is greater than 1. It will be a prime number.]

Since  $n > 1$  and  $n \mid n$ ,  $n$  is an element of set  $S$ , by definition of set  $S$ . Thus,  $S$  is not the empty set.

Also, by definition of  $S$ , every element of  $S$  is greater than 1, so 1 is a "Lower Bound" integer for set  $S$ .

$\therefore S$  satisfies the conditions of the Well-Ordering Principle of the Integers..

$\therefore$  By the Well-Ordering Principle of the Integers,  $S$  has a least element,  $m$ . [NTS:  $m$  is a prime number]

$\therefore m > 1$  and  $m \mid n$ .

Suppose, by way of contradiction, that  $m$  is not a prime number.

By definition of  $S$ ,  $m > 1$ . Thus,  $m$  is a non-prime integer greater than one.

Thus, since  $m > 1$  and  $m$  is not a prime number, there exist integers  $r$  and  $s$  such that

$1 < r < m$  and  $1 < s < m$  and  $m = rs$ . Thus,  $r \mid m$ .

Since  $r < m$  and  $m$  is the least element in  $S$ ,  $r$  is not an element in the set  $S$ .

Since  $1 < r$  and  $r$  is not an element in the set  $S$ , we conclude that  $r \nmid n$ .

Since  $r \mid m$  and  $m \mid n$ ,  $r \mid n$  by transitivity of divisibility.

Therefore,  $r \mid n$  and  $r \nmid n$ , which is a contradiction.

$\therefore m$  is a prime number, by proof-by-contradiction.

Recall that  $m \mid n$ .

$\therefore n$  is divisible by the prime number  $m$ . Let  $p = m$ .  $\therefore p$  is a prime number and  $p \mid n$ .

$\therefore n$  is divisible by some prime number  $p$ .

Therefore, for every integer  $n > 1$ ,  $n$  is divisible by some prime number  $p$ , by Direct Proof. **Q E D**